

McCARNEY

FINANCIAL SERVICES

Tel: 01 830 5432

looking after your future and investments
29 Whitworth Road, Drumcondra, Dublin 9.

www.mccarney.ie

Customer Risk Questionnaire

| | |
|-------------------------------|---------------|
| Client's Name: | _____ |
| Date of birth: | ___/___/_____ |
| Client's signature: | _____ |
| Date: | ___/___/_____ |
| Financial Broker's name: | _____ |
| Financial Broker's signature: | _____ |

We are now going to ask you 5 simple questions so we can understand a bit more about your investment experience and attitude to risk.

Q1. How experienced an investor are you?

- I am not experienced; I have rarely invested in the past
- I have invested before, but only in relatively simple products
- Although I am not an expert, I do understand how markets work and am comfortable in my ability to make most investment decisions
- I have made many investments in the past and would consider myself an experienced investor

Q2. How important to you is the investment you are considering now?

- I am very reliant on this investment and would be in trouble if I lost any of it
- I have other funds/sources of income but would feel pain if I suffered significant losses now
- I will not be reliant on this investment in the next 10 years, but I expect I will be after that
- These are surplus funds and I am unlikely to be dependent on them

McCARNEY

FINANCIAL SERVICES

Tel: 01 830 5432

looking after your future and investments
29 Whitworth Road, Drumcondra, Dublin 9.

www.mccarney.ie

Q3. Thinking of the investment you are about to make, do you agree/disagree with the following statement: 'I want my investment to be safe, even if it means lower returns in the long run'?

- I strongly agree with this statement
- I tend to agree with this statement
- I neither agree nor disagree with this statement
- I tend to disagree with this statement
- I strongly disagree with this statement

Q4. What risk and return expectations do you have for this investment?

- I would only invest if I had a guarantee of getting at least my original investment back
- I want to earn a little more than I could on deposit. I would only take on a small amount of risk to achieve this
- I am looking for steady long-term growth and am prepared for some short-term fluctuations in the value of my investment
- I am looking for strong long-term growth and understand this could lead to large fluctuations in the value of my investment
- I want to maximise returns and am very comfortable taking on a high degree of risk to achieve this

Q5. In order to achieve higher returns, you need to be comfortable with short-term losses. What is the maximum loss you can tolerate in any 12-month period, before becoming uncomfortable?

Enter the percentage to indicate your maximum percentage loss (between 0 – 40%)

 %

The LPI Awards 
Mortgage Broker of the Year 2015.